



NATIONAL FIRE PROTECTION ASSOCIATION

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Dawn Michele Bellis
Secretary, Standards Council

5 January 2018

To: Interested Parties

Subject:

Standards Council Decision (Final):	D#17-11
Standards Council Agenda Item:	SC#17-12-54
Date of Decision*:	6 December 2017
Proposed NFPA 3000(PS), <i>Standard for Preparedness and Response to Active Shooter and/or Hostile Events</i>	

Dear Interested Parties:

At its meeting of December 5-6, 2017, the Standards Council considered approval of the plan to proceed on the above referenced matter. The Council's Final decision is now available and is attached herewith.

Sincerely,

A handwritten signature in cursive script that reads "Dawn Michele Bellis".

Dawn Michele Bellis
Secretary, NFPA Standards Council

cc: D. Berry, S. Everett, L. Fuller, C. Dubay, E. Conlin, J. Montes
Members, TC on Cross Functional Emergency Preparedness and Response (ACT-AAA)
Members, NFPA Standards Council (AAD-AAA)
Individuals Providing Appeal Commentary

*NOTE: Participants in NFPA's codes and standards development process should know that limited review of this decision may be sought from the NFPA Board of Directors. For the rules describing the available review and the method for petitioning the Board for review, please consult section 1-7 of the Regulations Governing the Development of NFPA Standards (Regs.) and the NFPA Regulations Governing Petitions to the Board of Directors from Decisions of the Standards Council. Since this Council decision is not "related to the issuance of a standard" as referenced in 1.7.2 of the Regs., notice of the intent to file such a petition must be submitted to the Clerk of the Board of Directors within a reasonable time period.



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SUMMARY OF ACTION (for convenience only; not part of official decision): *The Standards Council has voted to proceed with the development of a standard for emergency preparedness and response to active shooter events as a provisional standard designated as NFPA 3000(PS), Standard for Preparedness and Response to Active Shooter and/or Hostile Events.*

HISTORY:

At the meeting of the NFPA Standards Council held April 4-5, 2017, the Council approved the request of Chief Otto Drozd, of the Orange County Fire Rescue Department, to develop a standard for preparedness and response to active shooter scenarios and incidents (*See Standards Council minute item 17-4-5 and related item 17-4-11-c*). The Council approved the title of the standard, *Standard for Preparedness and Response to Active Shooter and/or Hostile Events*, appointed members as the initial roster for the technical committee charged with standards development, and established the committee’s scope as follows:

This Committee shall have primary responsibility for documents relating to the preparedness, planning and response to cross functional, multi-discipline, and cross coordinated emergency events that are not already established by the NFPA. This includes all documents that establish criteria for the professional qualifications of those who are responsible for preparation, planning, exercising, and responding to cross functional, cross jurisdictional events.

DECISION:

At the December 5-6, 2017 Council meeting—given the importance, timeliness and potential safety implications of this project, recent active shooter/hostile events, and at the request of the Technical Committee to expedite the standards development process by any appropriate and available means—the Council considered whether steps should be taken to expedite the development of this project. The Council found that there are numerous recent active shooter and hostile events of increasing magnitude occurring across the globe, which are resulting in tragic loss of life as well as countless injuries. The very nature of these unpredictable deadly events and the frequency of recurrence establishes the need for prompt dissemination of standards for preparedness and response. Moreover, the Council found that the urgency in addressing these serious safety concerns warranted the initiation of expedited standards development procedures in light of the nearly two-year minimum time frame for standards development under NFPA’s accredited procedures.

Therefore, the Council requested that the NFPA Board of Directors adopt by reference Annex B of the 2017 ANSI Essential Requirements, entitled “Procedures for the Development of a Provisional American National Standard (ANS) or a Provisional Amendment to an ANS” for the purpose of authorizing both the processing of NFPA 3000 as a Provisional Standard and the Council to issue a provisional standard on preparedness and response to active shooter and/or hostile events. The Board voted to approve this request on December 22, 2017.

The procedures set forth in Annex B, allow an ANSI-accredited standards developer to initially develop a standard as “provisional” standard using the expedited Annex B Procedures (Annex B of the 2017 ANSI Essential Requirements is attached to this decision) provided that all of the following circumstances apply:

- When implementation of the Provisional ANS or Provisional Amendment may result in an improvement to the safeguarding of life, and there is a well-established need for the prompt dissemination of information that addresses an emergency situation or other special circumstance;
- When the use of the accredited procedures of the ASD would cause an undue delay in the issuance of a related standard; and
- When an ASD supports the development of a Provisional ANS or a Provisional Amendment with the intention of initiating the processing as an ANS, of the Provisional ANS or the Provisional Amendment to an ANS, within 45 days of its approval date. Processing of the ANS shall be in accordance with the ASD’s accredited procedures, including ANSI public review in *Standards Action* and consensus body ballot.

Having found that the circumstances necessary for the processing and development of a provisional standard apply to the subject of preparedness and response to active shooter and hostile events, and as authorized by the Board of Directors the Standards Council directs:

1. That the public notice by B.1.1 of ANSI Annex B Procedures be published announcing the development of a provisional standard designated as NFPA 3000 (PS), *Standard for Preparedness and Response to Active Shooter and/or Hostile Events*.
2. The Technical Committee on Cross Functional Emergency Preparedness and Response proceed expeditiously to develop a provisional standard on preparedness and response to active shooter and hostile events in accordance with Annex B procedures and that the Technical Committee call for public review of the draft provisional standard.
3. Once the Technical Committee has finalized its work through balloting, as with all NFPA Standards, the provisional standard shall be submitted to the Standards Council for issuance with the expectation that if NFPA 3000 is issued as a provisional standard, that following such issuance, the Standards Council would initiate processing of the standard in accordance with the *Regulations Governing the Development of NFPA Standards* through normal NFPA ANSI-accredited revision procedures.

Annex B: Procedures for the Development of a Provisional American National Standard (ANS) or a Provisional Amendment to an ANS

B.1 Procedures for the Development of a Provisional American National Standard (ANS) or a Provisional Amendment to an ANS

When an alternative process is not otherwise reflected in an ANSI-Accredited Standards Developer's (ASD's) accredited procedures, these procedures set forth the requirements for the issuance of a Provisional ANS or a Provisional Amendment to an existing ANS and may be used when all of the following circumstances apply:

- When implementation of the Provisional ANS or Provisional Amendment may result in an improvement to the safeguarding of life, and there is a well-established need for the prompt dissemination of information that addresses an emergency situation or other special circumstance;
- When the use of the accredited procedures of the ASD would cause an undue delay in the issuance of a related standard; and
- When an ASD supports the development of a Provisional ANS or a Provisional Amendment with the intention of initiating the processing as an ANS, of the Provisional ANS or the Provisional Amendment to an ANS, within 45 days of its approval date. Processing of the ANS shall be in accordance with the ASD's accredited procedures, including ANSI public review in *Standards Action* and consensus body ballot.

B.1.1 Public notice

An announcement identifying the standard and describing the circumstances that warrant the issuance of a Provisional ANS or Provisional Amendment shall be provided to ANSI in a timely manner for publication in ANSI's *Standards Action* along with relevant developer contact information. The requirements in clause 2.5 *Notification of standards development and coordination* shall not apply with regard to a Provisional ANS or Provisional Amendment that is promulgated in accordance with these procedures.

B.1.2 Minimum consensus body ballot period

A developer using these procedures may utilize the minimum ballot period established by their accredited procedures for an ANS or the consensus body may establish a ballot period that is not less than two weeks.

B.1.3 Approval of a Provisional ANS or a Provisional Amendment to an ANS

Approval of a Provisional ANS or a Provisional Amendment to an ANS requires approval by the consensus body of at least two-thirds of those voting, excluding abstentions.

B.1.4 Comment resolution

All comments accompanying the ballot shall be circulated to the consensus body in order to afford all members an opportunity to respond, reaffirm, or change their vote. For recirculation of comments, a minimum period of not less than one week is required. An attempt to resolve the comments received relative to the Provisional ANS or Provisional Amendment is not required.

B.1.5 Right to appeal

The right to appeal shall not be required in connection with the issuance of a Provisional ANS or Provisional Amendment. After the standard or revision has been issued, if a directly and materially affected party believes that the Provisional ANS or Provisional Amendment should be withdrawn, then the *Withdrawal for cause* procedures detailed in the *ANSI Essential Requirements: Due process requirements for American National Standards* shall apply.

B.1.6 Approval notification to ANSI

Notice of the approval of a Provisional ANS or Provisional Amendment by an ASD shall be submitted to ANSI within 5 days of the approval of the document. The notice shall include the designation and title of the new or affected document, the approval date and a certification that the developer has followed these procedures. An informational announcement shall be published in *Standards Action*.

B.1.7 Processing the Provisional ANS as an American National Standard

The ASD shall initiate the processing, as an ANS, of the Provisional ANS or the Provisional Amendment to the ANS, within 45 days of its approval date. This processing shall be in accordance with the ASD's accredited procedures and shall include publication of a PINS, either before or after publication of the initial announcement in

Standards Action that identified the Provisional ANS or Provisional Amendment to the ANS and described the circumstances that warranted its issuance per B.1.1 and before ANSI public review of the draft proposed ANS or amendment in *Standards Action* and consensus body ballot. Following the publication of the PINS, all of the other requirements of 2.5 *Notification of standards and coordination* associated with a PINS apply.

B.1.8 Withdrawal

A Provisional ANS or Provisional Amendment shall exist for no longer than two years from the date on which it is approved by the ASD. If consensus is achieved and the affected standard (either the Provisional ANS or the ANS as modified by the Provisional Amendment) is published as an ANS, the Provisional ANS or Provisional Amendment is superseded and shall be withdrawn. If consensus is not achieved, the Provisional ANS or Provisional Amendment shall be withdrawn at that time, but no later than two years from the date on which it was approved. A notice of the withdrawal shall be published in ANSI's *Standards Action*.

B.1.9 Identification of a Provisional ANS or Provisional Amendment

A standard or an amendment to a standard promulgated in accordance with these procedures shall be referred to as a Provisional American National Standard or Provisional Amendment, respectively, and identified clearly as such on the cover or title page. In addition, a standard processed as a Provisional American National Standard, shall be identified by a unique alphanumeric designation in accordance with the following guidelines:

ANSI/ABCD 123 (PS), where ABCD reflects the developer's acronym.

And an American National Standard with a provisional amendment shall be identified as follows:

ANSI/ABCD 123 (PA)

The following or similar text shall be included in the foreword of a Provisional Standard or adjacent to a Provisional Amendment when included in the text of an ANS:

"This document or some of the information contained in this document has been processed in accordance with ANSI's requirements for a Provisional American National Standard or Provisional Amendment to an ANS. The same or similar document or amendment (as applicable) will undergo the standards development process set forth in the ASD's accredited procedures. This Provisional ANS or pertinent Provisional Amendment(s) shall be withdrawn on or before the two year anniversary date of its approval as such."

The ANSI approval logo and the words "an American National Standard" shall not be used to identify an entire standard that has not received ANSI approval or been approved by an accredited standards developer who has been granted authority to designate its standards as American National Standards.

B.1.10 Audit of Use of Provisional ANSI Process

Evidence of compliance with these procedures shall be retained. This documentation shall be examined during the next scheduled audit of the developer.